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PTO/SB/05 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b> HOK-0214	
	<b>First Inventor</b> Kenichiro Hayashi	
	<b>Title</b>	HOISTING APPARATUS WITH HORIZONTAL STABILIZING MEANS FOR A LOAD HOLDER
	<b>Express Mail Label No.</b>	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>17</b> ] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>9</b> ]	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper
5. Oath or Declaration [Total Sheets <b>2</b> ] a. <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

#### ACCOMPANYING APPLICATION PARTS

- |  |   |
|--|---|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |   |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(when there is an assignee)   | <input type="checkbox"/> Power of Attorney                  |
| 11. <input type="checkbox"/> English Translation Document (if applicable)  |   |
| 12. <input checked="" type="checkbox"/> Information Disclosure<br>Statement (IDS)/PTO-1449   | <input checked="" type="checkbox"/> Copies of IDS Citations |
| 13. <input type="checkbox"/> Preliminary Amendment   |   |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                                  |   |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |   |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br>Applicant must attach form PTO/SB/35 or its equivalent. |   |
| 17. <input type="checkbox"/> Other:  |   |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

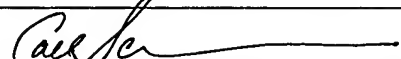
Prior application information: Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: <b>23353</b>	OR	<input type="checkbox"/> Correspondence address below
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<b>Names (Print/Type)</b> David T. Nikaido/Carl Schaukowitch	<b>Registration Nos. (Attorney/Agent)</b> 22,663/29,211
<b>Signature</b> 	<b>Date</b> November 24, 2003

10/718661  
112403



13281 U.S. PTO

112403

Use in lieu of PTO/SB/17 (08-03)  
(Form updated to reflect FY 2004 fees effective 10/1/03)

FEE TRANSMITTAL for FY 2004 <small>Effective 10/01/2003, Patent fees are subject to annual revision.</small>		Complete if Known		
		Application Number	NEW APPLICATION	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	November 24, 2003	
		First Named Inventor	Kenichiro Hayashi	
		Examiner Name	Not Yet Assigned	
		Art Unit	N/A	
TOTAL AMOUNT OF PAYMENT (\$)		770.00	Attorney Docket No.	HOK-0214
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)		
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES		
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		
The Director is authorized to: (check all that apply)		1051 130 2051 65 Surcharge - late filing fee or oath		
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.		
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		1053 130 1053 130 Non-English specification		
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1812 2,520 1812 2,520 For filing a request for ex parte reexamination		
FEE CALCULATION		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action		
1. BASIC FILING FEE		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action		
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		1251 110 2251 55 Extension for reply within first month		
1001 770 2001 385 Utility filing fee 770.00		1252 420 2252 210 Extension for reply within second month		
1002 340 2002 170 Design filing fee		1253 950 2253 475 Extension for reply within third month		
1003 530 2003 265 Plant filing fee		1254 1,480 2254 740 Extension for reply within fourth month		
1004 770 2004 385 Reissue filing fee		1255 2,010 2255 1,005 Extension for reply within fifth month		
1005 160 2005 80 Provisional filing fee		1401 330 2401 165 Notice of Appeal		
SUBTOTAL (1) (\$) 770.00		1402 330 2402 165 Filing a brief in support of an appeal		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1403 290 2403 145 Request for oral hearing		
Total Claims 8 -20** = 0 x 0 = 0.00		1451 1,510 1451 1,510 Petition to institute a public use proceeding		
Independent Claims 3 -3** = 0 x 0 = 0.00		1452 110 2452 55 Petition to revive - unavoidable		
Multiple Dependent 0 = 0		1453 1,330 2453 665 Petition to revive - unintentional		
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		1501 1,330 2501 665 Utility issue fee (or reissue)		
1202 18 2202 9 Claims in excess of 20		1502 480 2502 240 Design issue fee		
1201 86 2201 43 Independent claims in excess of 3		1503 640 2503 320 Plant issue fee		
1203 290 2203 145 Multiple dependent claim, if not paid		1460 130 1460 130 Petitions to the Commissioner		
1204 86 2204 43 ** Reissue independent claims over original patent		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1806 180 1806 180 Submission of Information Disclosure Stmt		
SUBTOTAL (2) (\$) 0.00		8021 40 8021 40 Recording each patent assignment per property (times number of properties)		
**or number previously paid, if greater; For Reissues, see above		1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))		
		1810 770 2810 385 For each additional invention to be examined (37CFR 1.129(b))		
		1801 770 2801 385 Request for Continued Examination (RCE)		
		1802 900 1802 900 Request for expedited examination of a design application		
		Other fee (specify) _____		
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00		
SUBMITTED BY		(Complete if applicable)		
Names (Print/Type)	David T. Nikaido/Carl Schaukowitch	Registration Nos. (Attorney/Agent)	22,663/29,211	
Signature		Telephone	(202) 955-3750	
		Date	November 24, 2003	